



## In the United States Patent and Trademark Office

Applicant:	Francis J. Kronzer	Docket No.:	19673
Serial No.:	10/749,687	Group:	
Confirmation No:	Unknown	Examiner:	
Filed:	December 31, 2003		
For:	Matched Heat Transfer Materials and Method of Use Thereof		

### **Information Disclosure Statement Pursuant to 37 C.F.R. 1.97(b) Before First Office Action or Within Three Months of Filing Date**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. 1.56 and in accordance with 37 C.F.R. 1.97 et seq., Applicant, through and by his attorneys, hereby wishes to direct the Examiner's attention to the documents listed on the modified PTO 1449 Form. A copy of each non-U.S. patent document listed on the form is also presented herewith for the Examiner's review and convenience. Cited U.S. patents and U.S. patent application publications are not included in accordance with the U.S. Patent Office waiver of the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003.

Applicant also wishes to bring the following commonly-assigned pending patent application to the attention of the Examiner:

U.S. Patent Application Serial No. 10/750,387 (Docket No. 19608) filed December 31, 2003, by Francis J. Kronzer for "Heat Transfer Material."

This application is not listed on the accompanying PTO Form 1449, however, a copy is provided herewith.

By inclusion of any given document in this Information Disclosure Statement, Applicant in no way admits that such document is effective as prior art against the above-identified application under either 35 U.S.C. 102 or 35 U.S.C. 103.

Additionally, submission of any document is not to be taken as an admission of the materiality of the document to the prosecution of the present application.

The Examiner is requested to review each cited document and personally determine its prior art status.

Applicant recommends that the Examiner conduct an independent search for any and all available material prior art and independently review the results of such search and the documents cited within this Statement.

Applicant requests the Examiner, in accordance with 37 C.F.R. 1.97, to indicate and make of record receipt and review of all of these documents by initialing the appropriate box in the accompanying PTO-1449. Applicant requests that the undersigned receive a copy of the initialed PTO-1449.

If the Examiner refuses to consider any or all of the herein listed materials because it is the Examiner's opinion that this Information Disclosure Statement is not in compliance with 37 C.F.R. 1.97, Applicant respectfully requests that the Examiner notify the undersigned, in writing, as to the basis of such opinion.

Please charge any prosecutorial fees which are due to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875.

The undersigned may be reached at: (770) 587-8626.

Respectfully submitted,

FRANCIS J. KRONZER

By: 

Richard M. Shane

Registration No.: 50,921

CERTIFICATE OF MAILING

I, Richard M. Shane, hereby certify that on March 31, 2004 this document is being deposited with the United States Postal Service as first-class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 

Richard M. Shane



## List of Patents and Publications for Information Disclosure Statement

Applicant(s): Francis J. Kronzer  
 Serial No.: 10/749,687  
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U.S. PATENT DOCUMENTS							
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A1	4,021,591	5/3/1977	DeVries et al.			
	A2	4,107,365	8/15/1978	Reed et al.			
	A3	4,351,871	9/28/1982	Lewis et al.			
	A4	4,383,878	5/17/1983	Young et al.			
	A5	4,496,618	1/29/1985	Pernicano			
	A6	4,517,237	5/14/1985	Pernicano			
	A7	4,664,735	5/12/1987	Pernicano			
	A8	4,773,953	9/27/1988	Hare			
	A9	4,966,815	10/30/1990	Hare			
	A10	4,980,224	12/25/1990	Hare			
	A11	5,006,502	4/9/1991	Fujimura et al.			
	A12	5,139,917	8/18/1992	Hare			
	A13	5,141,915	8/25/1992	Roeningk et al.			
	A14	5,236,801	8/17/1993	Hare			
	A15	5,242,739	9/7/1993	Kronzer et al.			
	A16	5,264,279	11/23/1993	Imamura et al.			
	A17	5,271,990	12/21/1993	Kronzer et al.			
	A18	5,318,943	6/7/1994	Ueno et al.			
	A19	5,342,739	8/30/1994	Katou et al.			
	A20	5,356,853	10/18/1994	Ueno et al.			
	A21	5,484,644	1/16/1996	Imamura et al.			
	A22	5,501,902	3/26/1996	Kronzer			
	A23	5,508,105	4/16/1996	Orensteen et al.			
	A24	5,614,345	3/25/1997	Gumbiowski et al.			
	A25	5,647,935	7/15/1997	Hoshino et al.			
	A26	5,654,080	8/5/1997	Hayashi et al.			
	A27	5,716,900	2/10/1998	Kronzer et al.			
	A28	5,776,854	7/7/1998	Hayashi			
	A29	5,798,179	8/25/1998	Kronzer			
	A30	5,876,836	3/2/1999	Imamura et al.			
	A31	5,880,065	3/9/1999	Hayashi et al.			
	A32	5,885,928	3/23/1999	Hirano et al.			
	A33	5,898,018	4/27/1999	Hirano et al.			
	A34	5,948,586	9/7/1999	Hare			
	A35	6,033,739	3/7/2000	Kronzer			
	A36	6,083,656	7/4/2000	Hare et al.			
	A37	6,087,061	7/11/2000	Hare et al.			

## List of Patents and Publications for Information Disclosure Statement

Applicant(s): Francis J. Kronzer  
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	A38	6,096,475	8/1/2000	Hare et al.			
	A39	6,177,187	1/23/2001	Niemoller et al.			
	A40	6,180,219	1/30/2001	Hoshino et al.			
	A41	6,200,668	3/13/2001	Kronzer			
	A42	6,210,794	4/3/2001	Nakamura			
	A43	6,214,149	4/10/2001	Nakano et al.			
	A44	6,232,267	5/15/2001	Oshima et al.			
	A45	6,232,268	5/15/2001	Narita et al.			
	A46	6,245,710	6/12/2001	Hare et al.			
	A47	6,251,824	6/26/2001	Ueno et al.			
	A48	6,294,307	9/25/2001	Hare et al.			
	A49	6,335,307	1/1/2002	Imai et al.			
	A50	6,346,313	2/12/2002	Cook			
	A51	6,358,660	3/19/2002	Agler et al.			
	A52	6,383,710	5/7/2002	Hare et al.			
	A53	6,395,375	5/28/2002	Imamura et al.			
	A54	6,410,200	6/25/2002	Williams et al.			
	A55	6,423,466	7/23/2002	Hare et al.			
	A56	6,428,878	8/6/2002	Kronzer			
	A57	6,465,393	10/15/2002	Nakano et al.			
	A58	6,482,285	11/19/2002	Cross			
	A59	6,497,781	12/24/2002	Dalvey et al.			
	A60	6,509,131	1/21/2003	Hare et al.			
	A61	6,551,692	4/22/2003	Dalvey et al.			
	A62	2002/0146544	10/10/2002	Kronzer			
	A63	2002/0153110	10/24/2002	Yamaguchi et al.			

## Foreign Patent Documents

Initials		Doc. No.	Date	Country	Class	Subclass	Trans.	
							Yes	No
	A1	EP 0 652 114 B1	1/21/1998	EP				
	A2	EP 0 850 786 B1	3/13/2002	EP				
	A3	EP 1 219 460 A2	7/3/2002	EP				
	A4	EP 1 020 299 B1	4/2/2003	EP				
	A5	EP 1 316 435 A1	6/4/2003	EP				
	A6	EP 1 340 626 A1	9/3/2003	EP				
	A7	EP 1 344 653 A1	9/17/2003	EP				
	A8	FR 2 442 721 A	8/1/1980	FR w/English abstract				X
	A9	JP 6-155995 A	6/3/1994	JP w/English abstract				X

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	A10	JP 2002-079767 A	3/19/2002	JP w/English abstract				X
	A11	WO 87/04393	7/30/1987	WO-PCT				
	A12	WO 90/00473	1/25/1990	WO-PCT				
	A13	WO 93/21561	10/28/1993	WO-PCT				
	A14	WO 97/33763	9/18/1997	WO-PCT				
	A15	WO 99/25917	5/27/1999	WO-PCT				
	A16	WO 00/59733	10/12/2000	WO-PCT				
	A17	WO 00/64685	11/2/2000	WO-PCT				
	A18	WO 00/73570	12/7/2000	WO-PCT				
	A19	WO 01/23664	4/5/2001	WO-PCT				
	A20	WO 02/36353	5/10/2002	WO-PCT				
	A21	WO 02/55311	7/18/2002	WO-PCT				
	A22	WO 03/06736	1/23/2003	WO-PCT				
<b>Other Documents</b>								
<b>Initials</b>		<b>Author, Title, Date, Pages, etc.</b>						
	A1	ASTM Designation: E-28-99, "Standard Test Methods for Softening Point of Resins Derived from Naval Stores by Ring-and-Ball Apparatus", December 1999, pp. 1-6.						
	A2	ASTM Designation: D-1238-00, "Standard Test Method for Melt Flow Rates of Thermoplastics by Extrusion Plastometer", November 2000, pp.1-12.						

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

Examiner:

Date Considered: